

FORM PTO 1449 (modified)  
U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
LIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

Date Submitted to PTO: May 21, 1999

ATTY DOCKET NO.  
030523/0136

SERIAL NO.  
09/232,488

APPLICANT  
Garner *et al.*

FILING DATE  
January 15, 1999

GROUP  
1632

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

All dates by D. Crouch. 2/7/2011		1	Garner's Preliminary Motion No. 1	8/16/2001
		2	Declaration of Alan Colman	8/16/2001
		3	Declaration of David Toman	8/16/2001
		4	Declaration of Lothar Hennighausen	8/16/2001
		5	Declaration of Jeffrey Rosen	8/16/2001
		6	Yarus et al, <i>Genetic Engineering</i> , 18: 57-81 (1996)	
		7	Reddy et al., <i>Animal Biotechnology</i> 2: 15-29 (1991)	
		8	Tojo et al., <i>J. Reprod. Develop.</i> 39: 145-155 (1993)	

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 2 of 3

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		9	Farrell <i>et al.</i> , <i>Biochemistry</i> , 30: 9414-9420 (1991)
		10	Velander's Preliminary Motion No. 1 8/16/2001
		11	Garner's Opposition to Velander's Preliminary Motion No. 1 8/16/2001
		12	Prunkard <i>et al.</i> , <i>Nature Biotechnology</i> , 14:867-871, 870 (1996)
		13	Hurtley <i>et al.</i> , <i>Annu. Rev. Cell Biol.</i> 5: 277-307 (1989)
		14	Prunkard <i>et al.</i> , (Abstract) <i>J. Cell Biochem., Suppl.</i> 17C (1993)
		15	Drohan <i>et al.</i> , <i>Transgenic Research</i> , 3: 355-364 (1994)
		16	Velander <i>et al.</i> , <i>PNAS (USA)</i> , 89: 12003-12007 (1992)
		17	Pittius <i>et al.</i> , <i>PNAS USA</i> , 85: 5874 (1988)
		18	Simons <i>et al.</i> , <i>Biotechnology</i> , 6:179 (1988)
		19	Clark <i>et al.</i> , <i>Biotechnology</i> , 7:487 (1989)

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	20	5,304,489					
	21	5,366,894					

### FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
	22	WO 90/05188					

### OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	23		Garner's Motion to Correct Inventorship. 8/16/2001
	24		Garner's Preliminary Motion No. 2 8/16/2001
	25		Hennighausen, <i>Protein Expression and Publication</i> 1: 3-8 (1990)
	26		Garner Exhibit No. 28- Typed Notes 8/16/2001
	27		Masoud <i>et al.</i> , <i>Ann. Zootech.</i> 45: -9 (1996)
	28		Garner's Reply in Support of Garner's Preliminary Motion 1 8/16/2001
	29		Second Declaration of Alan Colman 8/16/2001
	30		Declaration of Richard F. Lathe 8/16/2001

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